

# Generating Posets beyond $\mathbf{N}$

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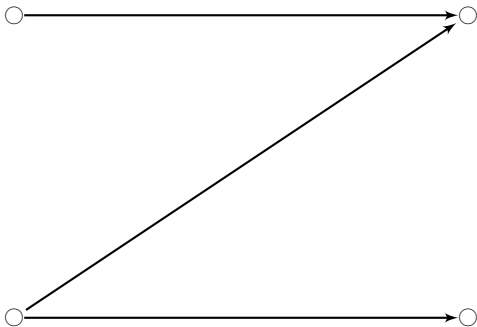
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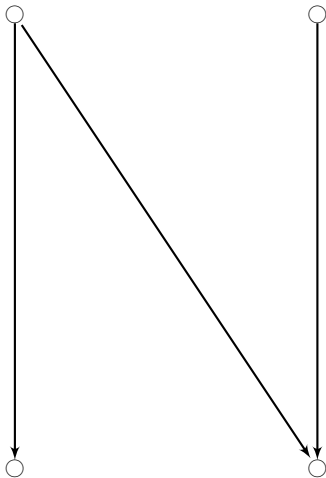
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RAMiCS 2020

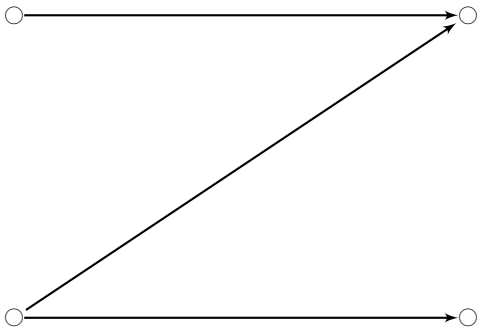
# Motivation



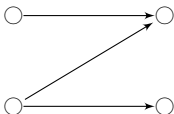
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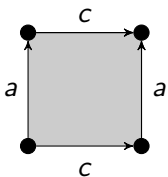


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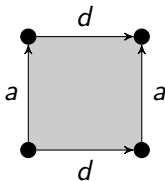


- **Kleene algebra** is nice and useful
  - ▶ also its extensions: semimodules, tests, domain, ...
- **Concurrent Kleene algebra**: extension of KA for concurrency
  - ▶ [Hoare, Möller, O'Hearn, Struth, van Staden, Villard, Wehrman, Zhu '09, '11, '16]
- Kleene algebra plus **parallel composition**
- the **free CKA** (minus some details): sets of **series-parallel pomsets**
  - ▶ labeled posets with concatenation & parallel composition
- *Something's amiss in concurrent Kleene algebra*

# Example, Using Higher-Dimensional Automata

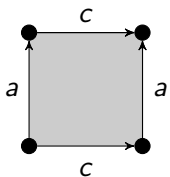


$$\begin{pmatrix} a \\ c \end{pmatrix}$$

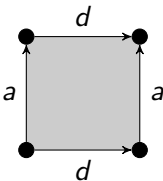


$$\begin{pmatrix} a \\ d \end{pmatrix}$$

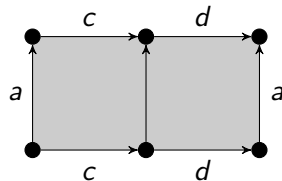
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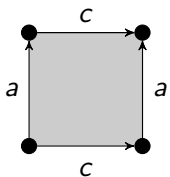
 $a *$ 


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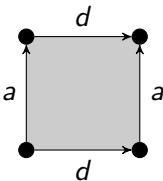
 $=$ 


$$\begin{pmatrix} c \xrightarrow{a} d \end{pmatrix}$$

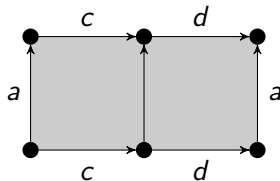
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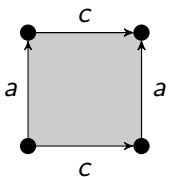
 $=$ 


$$\begin{pmatrix} a \\ c \longrightarrow d \end{pmatrix}$$

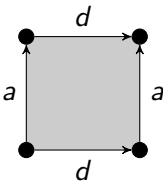
$$\begin{pmatrix} a \\ c \end{pmatrix} \parallel \begin{pmatrix} a \\ d \end{pmatrix} = \begin{pmatrix} a \\ c \\ a \\ d \end{pmatrix} ??$$



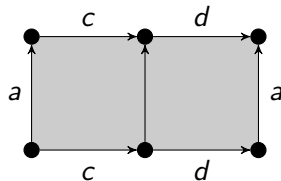
# Example, Using Higher-Dimensional Automata



$$\begin{pmatrix} a \\ c \end{pmatrix}$$

 $a$   
\*


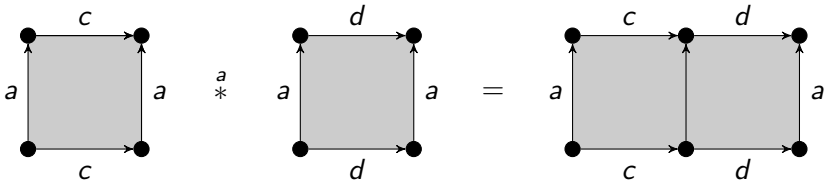
$$\begin{pmatrix} a \\ d \end{pmatrix}$$

 $=$ 


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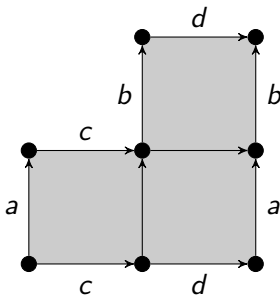
# Example, Using Higher-Dimensional Automata



$$\begin{pmatrix} a \\ c \end{pmatrix} \quad \color{red}{*} \quad \begin{pmatrix} a \\ d \end{pmatrix} \quad = \quad \left( c \xrightarrow{a} d \right)$$

- new **gluing** operation on pomsets, to *continue events across compositions*

# Another Example



$$\begin{pmatrix} a \\ c \end{pmatrix} \overset{a}{*} \begin{pmatrix} a \\ d \end{pmatrix} \overset{d}{*} \begin{pmatrix} b \\ d \end{pmatrix} = \begin{pmatrix} a \rightarrow b \\ c \rightarrow d \end{pmatrix}$$

- this is the **N** pomset, which is **not series-parallel**
- hence our title, **Generating Posets beyond N**

- 1 Introduction
- 2 Series-Parallel Posets
- 3 Posets with Interfaces
- 4 Gluing-Parallel Iposets
- 5 Conclusion

# Series-Parallel Posets

- a **poset**: *finite* set  $P$  plus partial order  $\leq$ : reflexive, transitive, antisymmetric
- **parallel** composition of posets  $(P_1, \leq_1), (P_2, \leq_2)$ :

$$P_1 \otimes P_2 = (P_1 \sqcup P_2, \leq_1 \cup \leq_2)$$

↑↑ disjoint union

- **serial** composition:

$$P_1 * P_2 = (P_1 \sqcup P_2, \leq_1 \cup \leq_2 \cup P_1 \times P_2)$$

↑↑  $P_1$  before  $P_2$

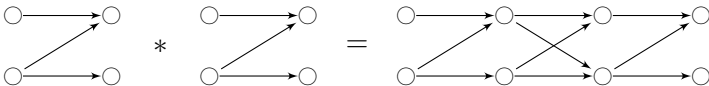
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# Series-Parallel Posets

## Definition (Winkowski '77, Grabowski '81)

A poset is **series-parallel (sp)** if it is empty or can be obtained from the singleton poset by a finite number of serial and parallel compositions.

## Theorem (Grabowski '81)

*A poset is sp iff it does not contain  $\mathbf{N}$  as an induced subposet.*

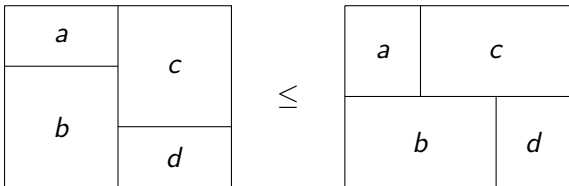
The equational theory of sp-posets is well-understood: [Gischer '88], [Bloom-Esik '96]

# Concurrent Monoids

Definition (Gischer '88, Hoare *et al.* '11)

A **concurrent monoid** is an ordered bimonoid  $(S, \leq, *, \parallel, 1)$  with shared  $*-\parallel$ -unit 1 which satisfies **weak interchange**:

$$(a \parallel b) * (c \parallel d) \leq (a * c) \parallel (b * d)$$



- **subsumption** on posets:  $P \preceq Q$  if  $P$  “has more order” than  $Q$

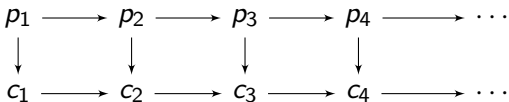
Theorem (Gischer '88, Bloom-Esik '96)

*The set of sp-posets under subsumption is the free concurrent monoid.*



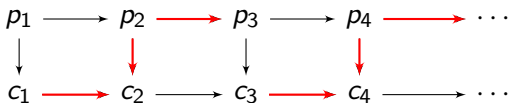
# Problem & Solution

- we like the **N** poset, but it's not series-parallel
- in fact, **N**'s are everywhere: for example, *producer-consumer*:



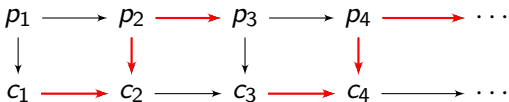
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## Problem

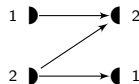
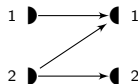
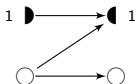
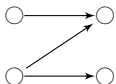
*Find a class of posets which includes **N** (and sp-posets) and which has good algebraic properties.*

## Our Proposal

Posets with **interfaces** with parallel and **gluing** composition.



# Posets with Interfaces



## Definition

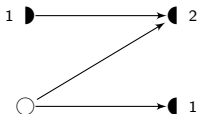
A **poset with interfaces (iposet)** is a poset  $P$  plus two injections

$$[n] \xrightarrow{s} P \xleftarrow{t} [m]$$

such that  $s[n]$  is minimal and  $t[m]$  is maximal in  $P$ .

- ( $[n] = \{1, \dots, n\}$  ;  $S \subseteq P$  minimal if  $p \not\leq s$  for all  $p \in P, s \in S$ )
- (there are 25 non-isomorphic iposets with underlying  $\mathbf{N}$ )

# Interfaces



Def.: Iposet  $s : [n] \rightarrow P \leftarrow [m] : t$  ;  $s[n] \subseteq P_{\min}$ ,  $t[m] \subseteq P_{\max}$ .

- $s$ : **starting interface** ;  $t$ : **terminating interface**
- events in  $t[m]$  are *unfinished* ; events in  $s[n]$  are “*unstarted*”

## Definition

The **gluing composition** of iposets  $s_1 : [n] \rightarrow (P_1, \leq_1) \leftarrow [m] : t_1$  and  $s_2 : [m] \rightarrow (P_2, \leq_2) \leftarrow [k] : t_2$ :

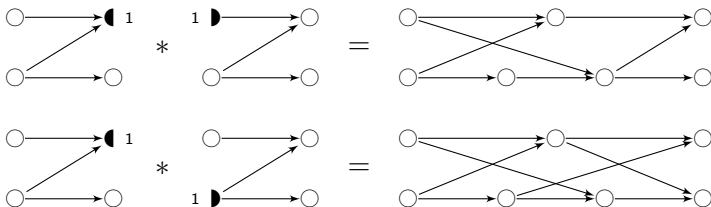
$$P_1 * P_2 = \begin{cases} (P_1 \sqcup P_2) / t_1(i) = s_2(i) \\ \leq_1 \cup \leq_2 \cup (P_1 \setminus t_1[m]) \times (P_2 \setminus s_2[m]) \end{cases}$$

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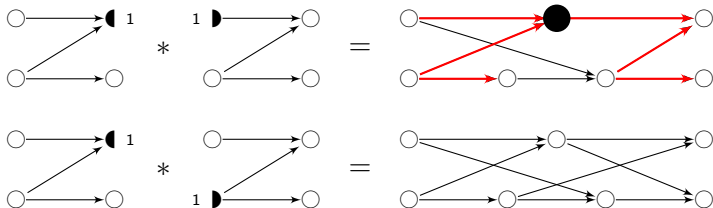
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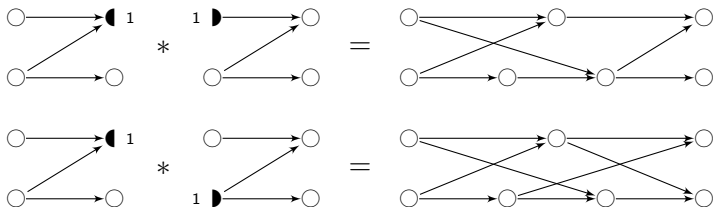


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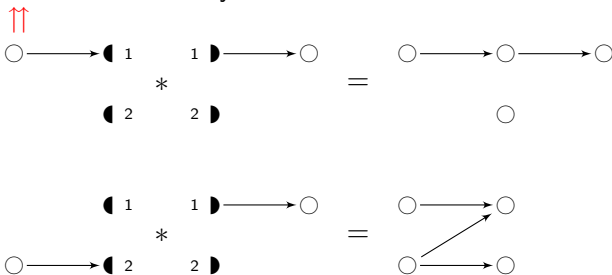
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# Parallel Composition

- **parallel composition** of iposets: put posets in parallel and renumber interfaces
- for  $[n_1] \rightarrow P_1 \leftarrow [m_1]$  and  $[n_2] \rightarrow P_2 \leftarrow [m_2]$ , have  $[n_1 + n_2] \rightarrow P_1 \otimes P_2 \leftarrow [m_1 + m_2]$
- **not commutative** ; only “lax tensor” ; **not a PROP**

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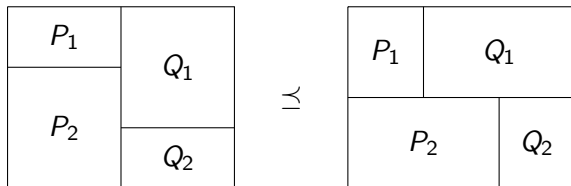
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- ↑↑

$$(P_1 \otimes P_2) * (Q_1 \otimes Q_2) \not\cong (P_1 * Q_1) \otimes (P_2 * Q_2)$$



# Gluing-Parallel Iposets


- the four singletons:



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- recall *sp-posets*: generated from  using  $*$  and  $\otimes$ 
  - $\triangleright$  *sp-posets* are *freely* generated
  - $\triangleright$   $P$  is *sp* iff  $P$  is **N**-free

# Gluing-Parallel Iposets

- the four singletons:



- recall *sp-posets*: generated from  $\circ$  using  $*$  and  $\otimes$ 
  - $\triangleright$  sp-posets are *freely* generated
  - $\triangleright$   $P$  is sp iff  $P$  is  $\mathbf{N}$ -free
- gp-ipo**sets: generated from  $\circ$ ,  $1 \blacktriangleright$ ,  $\blacktriangleleft 1$ ,  $1 \blacktriangleright 1$  using  $*$  and  $\otimes$

## Proposition

Gp-ipo

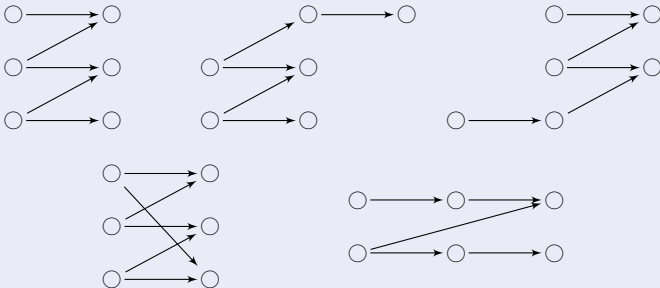
sets are **freely generated**, except for the relations

$$\begin{aligned} \left( \begin{array}{c} \blacktriangleleft 1 \\ P \end{array} \right) * \left( \begin{array}{c} 1 \blacktriangleright \\ Q \end{array} \right) &= \left( \begin{array}{c} \circ \\ P * Q \end{array} \right) & \left( \begin{array}{c} \blacktriangleleft 1 \\ P \end{array} \right) * \left( \begin{array}{c} 1 \blacktriangleright 1 \\ Q \end{array} \right) &= \left( \begin{array}{c} \blacktriangleleft 1 \\ P * Q \end{array} \right) \\ \left( \begin{array}{c} 1 \blacktriangleright 1 \\ P \end{array} \right) * \left( \begin{array}{c} 1 \blacktriangleright \\ Q \end{array} \right) &= \left( \begin{array}{c} 1 \blacktriangleright \\ P * Q \end{array} \right) & \left( \begin{array}{c} 1 \blacktriangleright 1 \\ P \end{array} \right) * \left( \begin{array}{c} 1 \blacktriangleright 1 \\ Q \end{array} \right) &= \left( \begin{array}{c} 1 \blacktriangleright 1 \\ P * Q \end{array} \right) \end{aligned}$$

# Forbidden Substructures

## Proposition

If  $P$  is gp, then it does not contain any of the following as induced subposets:



- unlike for  $sp$ -posets, that's **not an iff** (we don't know)
- but these five are the only posets on  $\leq 6$  points which are not gp



# Some Counting, up to Isomorphism

$n$	$P(n)$	$SP(n)$	$GP(n)$	$IP(n)$	$GPI(n)$
0	1	1	1	1	1
1	1	1	1	4	4
2	2	2	2	17	16
3	5	5	5	86	74
4	16	15	16	532	419
5	63	48	63	???	2980
6	318	167	313	???	26566
7	2045	602	???	???	???
OEIS	A000112	A003430	A079566 ?	n.a.	n.a.

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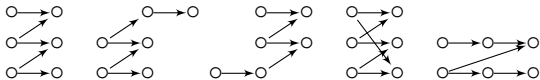
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- slow Python implementation
- bottleneck is **isomorphism** checking

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•  $P(6) - GP(6) = 5$ :



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- the only iposet on 2 points which is not gp:

 $1 \blacktriangleleft 2$ 
 $2 \blacktriangleleft 1$

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$n$	$P(n)$	$SP(n)$	$GP(n)$	$IP(n)$	$GPI(n)$
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- Online Encyclopedia of Integer Sequences A079566:  
the number of **connected graphs without induced sub- $C_4$**

# Conclusion

- **posets with interfaces** for concurrency
- instead of concurrent monoid, **small category with lax tensor**
  - ▶ a “multi-object concurrent monoid”
- **gluing-parallel** iposets include sp-posets and the  $\mathbf{N}$ 
  - ▶ they also include all **interval orders**
  - ▶ **II-free**; useful in concurrency [Wiener 1914], [Lamport '86], [van Glabbeek '90], [Vogler '91], [Janicky '93], etc.
- generation is “almost free”
- characterization by **forbidden substructures**?

# Ongoing and Future Work

- Concurrent Kleene algebra:
  - ▶ concurrent monoid  $\leadsto$  **concurrent semiring**
  - ▶ multi-object concurrent monoid  $\leadsto$  **bicategories with lax tensors?**
  - ▶ and the **stars?**
  - ▶ relation to **synchronous Kleene algebra?**
- CKA with **domain**:
  - ▶ domain elements are “structure-less” iposets
  - ▶ relation to **higher-dimensional modal logic?**
  - ▶ **higher-dimensional modal Kleene algebra**
- Languages of higher-dimensional automata:
  - ▶ sets of **interval orders**
  - ▶ **concatenation** of HDA  $\approx$  **gluing** of (sets of) interval orders
  - ▶ theory of **regular languages for concurrency?**

## 6 Posets for Concurrency: Interval Orders



# Posets for Concurrency: Interval Orders

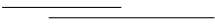


- posets which are good for concurrency?
- already in [Wiener 1914], then [Winkowski '77], [Lamport '86], [van Glabbeek '90], [Vogler '91], [Janicky '93], etc.
- **interval orders**: posets which have representation as (real) intervals, ordered by  $\max_1 \leq \min_2$
- Lemma (Fishburn '70): A poset is interval iff it does not contain  $\mathbb{I} = \left( \begin{array}{ccc} : & \longrightarrow & : \\ : & \longrightarrow & : \end{array} \right)$  as induced subposet.
- intuitively: if  $a \longrightarrow b$  and  $c \longrightarrow d$ , then also  $a \longrightarrow d$  or  $c \longrightarrow b$

## Gluing of Interval Orders

$$\begin{array}{c}
 \left( \begin{array}{c} a \\ c \end{array} \right) \begin{array}{c} a \\ * \end{array} \left( \begin{array}{c} a \\ d \end{array} \right) \begin{array}{c} d \\ * \end{array} \left( \begin{array}{c} b \\ d \end{array} \right) \\
 \\
 \frac{a}{c} \text{ --- } \frac{a}{d} \text{ --- } \frac{b}{d} \\
 \\
 \frac{a}{c} \quad \frac{b}{d}
 \end{array}
 =
 \begin{array}{c}
 \left( \begin{array}{c} a \longrightarrow b \\ c \longrightarrow d \end{array} \right) \\
 \\
 \frac{a}{c} \quad \frac{b}{d}
 \end{array}$$

## Interval Orders vs ST-Traces

- An **ST-trace**:  $a^+ b^+ a^+ a^- a^- b^-$  [van Glabbeek '90]
- as intervals: 

## Proposition

ST-traces up to the equivalence generated by  $a^+ b^+ \sim b^+ a^+$  and  $a^- b^- \sim b^- a^-$  are the same as labeled interval orders.